



### Myocardial Revascularization

The invention relates to a system for performing myocardial revascularization on a beating heart of a patient.

Transmyocardial revascularization (TMR) is a surgical treatment for cardiovascular disease. Present TMR procedure uses a laser beam to drill holes of approximately 1 mm diameter through the myocardium, typically into the left ventricle. These holes or channels extend through the entire heart wall thickness from the outside through to the ventricle. The openings of the channels on the outside surface of the heart heal due to external pressure from the surgeon, but the channels remain open on the inside, allowing blood to enter the heart wall tissue from the ventricle.

In another approach myocardial revascularization can be performed using a catheter introduced percutaneously so that the tip of the catheter is inside a chamber of the heart, typically the left ventricle, where the holes or channels can be created from the inside toward but not through the outside of the heart. This approach is also known as endocardial laser revascularization (ELR), percutaneous myocardial revascularization (PMR), and direct myocardial revascularization (DMR). The channels are drilled with a laser beam introduced through the catheter. The catheter typically is an 8-French or 9-French catheter.

Certain problems are presented when laser revascularization is done on a beating heart. A beating heart presents a moving target, which can make it difficult to accurately and consistently form channels of a desired depth and size. The heart also is extremely sensitive to a laser pulse at certain times during its cycle. A laser pulse striking the heart during the T wave of the heart beat cycle could cause the heart to fibrillate and result in complications. While one could stop the heart during the process of TMR, this poses other risks to the patient and complicates the operating procedure. The heart must be cooled and the patient connected to a heart-lung machine.

However, the risk of inducing a beating heart to fibrillate is greatly reduced when the laser is fired only during the refractory period of the heart cycle between the R and T waves of the ECG signal. An additional benefit of firing the laser only between the R and T waves is that this is the period of the heartbeat cycle during which the heart is most still and channels can be formed most accurately. Rudko U.S. Patent No. 5,125,926 describes a heart-synchronized pulsed laser system that fires a laser only during the refractory period of the heartbeat cycle. The patent describes an open chest procedure

using an articulated optical arm or a fiber optic element to deliver the laser beam to a surface of the heart.

Aita U.S. Patent No. 5,389,096 discloses a percutaneous myocardial revascularization procedure in which a steerable heart catheter is guided from the femoral artery via the abdominal artery into the left ventricle. The laser energy is delivered through the working channel of the catheter by a fiber optic delivery system.

#### Summary of the Invention

In one aspect, the invention features, in general, a myocardial revascularization system that includes a laser energy source, an outer guide member providing access to a patient's heart, and an optical fiber. The optical fiber is coupled to receive laser energy pulses from the source, is slidably located within the guide member, and is extendible from the distal end of the guide member. A drive motor is connected to the fiber to automatically move the distal end of the optical fiber with respect to the distal end of the guide member. A controller controls the drive motor to automatically move the fiber in synchronism with firing of the laser energy.

In another aspect, the invention features, in general, a myocardial revascularization system that includes a laser energy source, an outer guide member providing access to a patient's heart, an optical fiber that is slidably located within the guide member, and a drive motor connected to the fiber to automatically move the distal end of the optical fiber with respect to the distal end of the guide member. A controller controls the drive motor and automatically calibrates the position of the distal end of the fiber with respect to the distal end of the catheter.

In another aspect, the invention features, in general, a myocardial revascularization system that includes a semiconductor laser energy source (e.g., a diode laser) that outputs laser pulses having a wavelength between 600nm and 1000nm, an optical fiber that is coupled to receive laser energy pulses from the source and to deliver them to a patient's heart tissue to be revascularized, and an outer guide member that engages the fiber and permits an operator to direct the tip to contact the patient's heart tissue to be revascularized.

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revascularized, and an outer guide member that engages the fiber and permits an operator to direct the tip to contact the patient's heart tissue to be revascularized. The fiber has, at its tip, a heat absorbing material that is nonmetallic and is partially transparent to the pulses.

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10 enlarged portion at the tip thereof that has a transverse dimension that is larger than the fiber diameter.

In another aspect, the invention features, in general, a myocardial revascularization system that includes a laser energy source, an outer guide member providing access to a patient's heart, and an optical fiber that is coupled to receive laser energy pulses from the  
15 source and is slidably located within the outer guide member. The outer guide member is 7 French or smaller and has a deflectable distal end that is deflectable by an operator-controlled mechanism.

In another aspect, the invention features, in general, a myocardial revascularization system that includes a laser energy source, an outer guide member providing access to a  
20 patient's heart, and an optical fiber that is coupled to receive laser energy pulses from the source and is slidably located within the outer guide member. A lubricant is located between the optical fiber and an inner lumen surface of the guide member.

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25 patient's heart, and an optical fiber that is coupled to receive laser energy pulses from the source and is slidably located within the outer guide member. A first position sensing component is carried by the optical fiber at or near the distal end of the fiber, and a second position sensing component is carried by the guide member at or near the distal end of the guide member.

30 In another aspect, the invention features, in general, a myocardial revascularization system that includes a laser energy source, an outer guide member providing access to a patient's heart, and an optical fiber that is coupled to receive laser energy pulses from the source and is slidably located within the outer guide member. The guide member has a

distal end having a non-slip structure for engaging a surface location of a patient's heart tissue.

In another aspect, the invention features, in general, a percutaneous myocardial revascularization system that includes a laser energy source, a catheter for percutaneous  
5 access to a patient's heart, an optical fiber that is coupled to receive laser energy pulses from the source and is slidably located within the catheter. An inflation balloon is carried on the catheter at the distal end so as to prevent piercing the heart tissue of the patient with the catheter.

In another aspect, the invention features, in general, a percutaneous myocardial  
10 revascularization system that includes a laser energy source, a catheter for percutaneous access to a patient's heart, an optical fiber that is coupled to receive laser energy pulses from the source and is slidably located within the catheter, and a lubricant on the outer surface of the catheter.

Particular embodiments of the invention may include one or more of the following  
15 features. The fiber can be automatically advanced by the drive motor. The controller can receive signals indicating the patient's heart beat cycle (e.g., ECG signals) and synchronize laser firing and fiber movement to the patient's heart beat cycle. The fiber can be advanced after a short delay after initiation of firing of the laser. The laser firing can be synchronized to occur after the R wave of an ECG signal and to end at a time  
20 before the T wave. Alternatively, the laser firing can be synchronized to begin after the T wave and to end at a time before the next T wave.

In particular embodiments, the distal end of the outer guide member can be deflectable to varying amounts of tip deflection (e.g., up to 90 degrees or 120 degrees); a sensor can sense the extent of deflection and generate a signal indicating the extent of  
25 deflection, and the controller can receive the signal indicating the extent of deflection and determine a calibration adjustment for the position of the distal end of the fiber with respect to the distal end of the guide member as a function of the guide member deflection.

In particular embodiments, the controller can use the calibration adjustment to cause the fiber tip to be moved to an initialized position (e.g., about 1 mm) prior to firing  
30 the laser. The controller can fire the laser after moving the fiber to the initialized position, and after receiving a fire signal from the operator. The controller can fire the laser after receiving an ECG signal after receiving the fire signal. The controller can advance the fiber after a delay of about 50 ms after initiating firing of the laser. The controller can

advance the fiber a predetermined distance (e.g., at least 4 mm or at least 10 mm) in a predetermined period (e.g., less than 300 ms, though it can be greater than 300 ms) while continuing to fire the laser. The predetermined period can start after the R wave and end before the T wave. Alternatively, the predetermined period can start after the T wave and  
5 end before the next T wave. The predetermined period can be contained within one heart beat cycle or within more than one heart beat cycle. The predetermined period can include a period before the T wave and a period after the T wave wherein the laser is not fired and the fiber is not advanced during the T wave.

In particular embodiments, the controller can retract the fiber after discontinuing  
10 firing of the laser. The controller can cause the laser to fire a burst of energy as the fiber is being removed from the channel to coagulate the entrance to the channel in (TMR applications).

In particular embodiments, the drive motor used to move the fiber can be a stepper motor. The stepper motor can cause a fiber engagement member that engages a portion of  
15 the fiber external of the patient to be moved with respect to the outer guide member. The fiber engagement member can be moved by the stepper motor with respect to a handle to which the outer guide member is attached. A sheathed cable can be connected between the stepper motor and the handle. The sheathed cable can include an outer sheath that is secured to the fiber engagement member and an internal cable that is connected to the  
20 handle, such that, retraction of the internal cable within the outer sheath by the stepper motor causes the fiber engagement member to be moved toward the handle and the fiber to advance within the outer guide member.

In particular embodiments, the system can include a drug delivery system that delivers drugs from the distal end of the catheter in response to a drug delivery signal, and  
25 the controller can control the drive mechanism to move the fiber in synchronism with delivery of the drugs from the distal end of the catheter. Drug delivery can be synchronized to occur during movement of the distal end of the fiber away from the patient's heart tissue. The drug delivery can be synchronized to occur as the distal end of the fiber is removed from a channel formed in the heart tissue.

30 In particular embodiments, energy absorbing material can be located in a coating at an end surface portion of the fiber tip and not at a lateral surface portion of the tip. The energy absorbing material can include a coating of energy absorbing glass. The energy absorbing glass can be a coating of an optical glass filter that absorbs radiation at the

wavelength of the laser energy source. The coating can include ionically colored glass. The coating can be about 20-30 um thick. The energy absorbing material can include carbon. The energy absorbing material can include metallic particles in nonmetallic material, e.g., glass. The fiber can be made of silica glass material. The coating can  
5 absorb between 5 and 40% (preferably between 15 and 25%) of the radiation directed to it.

In particular embodiments, the fiber tip can have an enlarged portion that has a transverse dimension of greater than about 600um (e.g., about 700 um), and the fiber diameter can be less than about 500um (e.g., about 400um). The enlarged portion can be generally spherical. The enlarged portion can be made by heating the fiber, and the  
10 fiber can be made of material selected to have a surface tension so as to provide a 700um tip dimension in a transverse direction. A lifeline can be attached to the enlarged portion to retain the enlarged portion in the event that it becomes separated from the fiber. The tip can include a material having a different refractive index than the material of the fiber, and a sensor can be used to monitor reflection returned from the interface of  
15 the material of the fiber and the material having a different refractive index to thereby confirm that the enlarged portion remains connected to the fiber.

In a particular percutaneous embodiment, the catheter can be 6 French or smaller. The catheter can have a fluoropolymer heat shrink tube over the optical fiber. The optical fiber can have a core diameter less than 800 um (preferably less than 500 um, e.g., about  
20 400 um).

In embodiments employing a lubricant, the lubricant can be provided by a coating that creates a water film when exposed to an aqueous solution. The coating can be a water or solvent based hydrogel.

In embodiments employing position sensing components on the end of the fiber  
25 and outer guide member, the first position sensing component can be a magnetic material, and the second position sensing component can be a magnetic position sensor, e.g., a Hall effect sensor. Alternatively, the first and second position sensing components can be radiopaque markers.

Other advantages and features of the invention will be apparent from the  
30 following description of particular embodiments and from the claims.

#### Brief Description of the Drawings

Figure 1 is a diagram of a PMR system according to the invention.

Figure 2 is a block diagram of the functional subsystems of the Figure 1 system.

Figure 3 is a perspective view of a fiber advance drive motor module of the Figure 1 system.

Figure 4 is a diagram of the optic fiber circuit of the Figure 1 system.

5 Figure 5 is a diagram of the optics used in an optics/shutter module of the figure 1 system.

Figure 6 is an elevation of the end of an optic fiber of the Figure 1 system.

Figure 7 is an elevation of a handle and attached components of the Figure 1 system.

10 Figure 8 is an elevation of the Figure 7 handle shown in a different operative position.

Figures 8A-8D show the end of the catheter of the Figure 1 system in different bent positions.

Figure 9 is a diagram of the end of the catheter in different positions in the left  
15 ventricle of the patient's heart.

Figure 10 is an elevation of the end of the Figure 9 catheter.

Figures 11 and 12 are an elevation and a side view of the Figure 10 catheter with its balloon inflated.

Figure 13 is an elevation showing the Figure 10 catheter in a bent orientation.

20 Figure 14 is a vertical sectional view of an alternative catheter of the Figure 1 system.

Figures 15 and 16 are vertical sectional views of different embodiments of the catheter used in the Figure 1 system.

Figures 17-19 are diagrammatic elevations showing different embodiments for the  
25 end of the catheter of the Figure 1 system.

Figure 20 is a diagram of the timing of the Figure 1 system with respect to ECG, laser firing, and fiber advancement and retraction.

Figure 21 is a diagram showing a system used to detect whether the end of the fiber has broken off.

30 Figure 22 is an elevation of a modified handle used in TMR.

Figure 23 is a view of an end section of a guide member of the Figure 21 handle shown in different positions.



### Detailed Description of Particular Embodiments

Referring to Figure 1, there is shown PMR system 10 for delivering laser energy from laser source 12 through an optic fiber 14 in catheter 16 to a patient's heart 18. PMR system 10 also includes a handle 20 that is engaged by the operator and an optional  
5 fiber advance motor 22 for automatically extending optic fiber 14 and a optics/shutter module 15 for controlling the laser energy delivered through optic fiber 14.

Referring to Fig. 2, the functional components of system 10 are shown. They include Operator Interface 24, System Computer/Software 26, System Peripheral Interface 28, Laser Power Supply and Control 30, Laser Diode Module 12, Optics/Shutter  
10 15, Fiber Advance Drive Motor Module 22, and ECG Monitor 26.

Operator Interface 24 allows control and monitoring of the system parameters. It consists of an electronic display and data entry panel. Operator controls include Laser ON/OFF, Laser ARM/DISARM and ECG Lead selection. The display displays laser energy, laser pulse Delay Time and ECG wave.

15 In System Computer/Software 26, the system computer runs the software, which controls and monitors all PMR functions. The computer is based on the x86 uniprocessor architecture, which runs DOS 6.2 or later. The System software is DOS based written in C language. C++ or other languages could also be used. The software includes a 1-millisecond interrupt loop to handle time critical processing.

20 The Peripheral Interface 28 is a circuit that translates all computer command signals to levels appropriate to the PMR subsystem devices.

The Laser Power Supply/Control 30 provides the standby and operating power for the laser diode. The power supply is initially turned on to supply the laser diode threshold (not lasing) current and then pulsed to supply current required for sufficient laser  
25 power to create tissue ablation.

The Laser Diode module 12 consists of an appropriately rated (e.g., 25 W) laser diode and a system for providing cooling to maintain the diode at the preferred operating temperature. (See Figure 4.) The optics/shutter module 15 conditions the laser beam for entry into the fiber 14 housed in the catheter 16 and prevents unwanted laser energy  
30 delivery. It employs an electromechanical shutter and verifies the amount of energy being delivered. The output of the laser module is interrupted by the electromechanical shutter, which must be activated to allow laser energy delivery. The shutter normally reflects the laser energy onto an energy-measuring sensor while in the deactivated state. When the

shutter is activated and opened, the laser beam is allowed into the launch optic coupling lenses, which condition the beam for entry into fiber 14.

The fiber advance drive motor module 22 (shown in more detail in Fig. 3) is used to pre-position the fiber tip (distal end), advance it at a programmed rate to a programmed distance, and then retract the fiber tip.

The ECG Monitor 26 is used to sense the patient ECG electrical activities and provide a suitable electronic representation for display. The monitor also processes the sensed electrical activity and provides a suitable indication when the peak of the R-Wave is occurring for use in synchronizing laser firing, fiber advancement and retraction, and optional drug delivery.

Referring to Figures 1 and 3, fiber advance drive module 22 includes stepper motor 32, which moves internal cable 34 forward and backward with respect to outer sheath 36 in response to instructions from computer 26.

Referring to Figure 4, it is seen that optical fiber 14 is connected through optics/shutter 15 to optic fiber 40 to diode laser 42 of laser module 12. Laser diode 42 is an 808-nanometer 25-watt semiconductor laser. Alternatively, other lasers operating at different wavelengths can be employed, e.g., other semiconductor lasers operating between 600 nm and 1000 nm. Module 12 also includes thermoelectric cooler 44 having Peltier elements that are modulated to maintain a set temperature. Optics/shutter module 15 also includes lens 46 and lens 48 (Figure 5) to collimate the light from the 600 um core diameter of fiber 40 to the light going through the smaller 400 um core diameter of optical fiber 14 at 47. Other diameter fibers can be used; e.g., 400 um core diameter fibers could be used for both fiber 14 and fiber 40.

Referring to Figure 6, optical fiber 14 includes a high numerical aperture (0.48NA), hard clad multimode 400 micron silica core with regular OH content available from 3M under the FT-400-URT product designation. The fiber cladding and buffer coating are polymer based. The high NA fiber provides for minimal optical losses when the energy is launched into the fiber core. Optical fiber 14 preferably includes a PTFE fluoropolymer shrink tube 49 over the outer diameter of the fiber jacket to reduce the coefficient of friction during fiber advance through the catheter. The jacket also increases the column stiffness of the fiber assembly to minimize fiber backlash when the fiber assembly is retracted in the catheter. A lubricant, preferably a hydrogel, can also be provided on the outer surface of fiber 14. The hydrogel is activated in an aqueous

environment and provides a thin film to reduce the coefficient of friction. The hydrogel can include a soluble material of polyvinyl pyrrolidone that is bound to a polymer matrix. The hydrogel can be in a water-soluble liquid form, applied via dipping or spraying and UV cured. Alternatively the hydrogel may be solvent based and cured via heat. Other  
5 soluble materials include polyvinyl alcohol, polyethylene oxide, or polypropylene oxide.

Still referring to Figure 6, enlarged portion 50, which is spherical in shape, is at the distal end of fiber 14. Enlarged portion 50 includes a thin coating 53 of an optical glass filter 20-30 microns thick. The optical glass filter is ionically colored glass available from Schott. The optical glass filter reduces the transmission of energy through the glass  
10 in the near IR and generates heat in the tip. Preferably the coating absorbs between 5 and 40%, most preferably around 20% of the radiation directed to it; as the percentage goes significantly above the optimal range, undue heat may be generated; as the percentage goes significantly below the optimal range, insufficient heat may be generated, or it may not be generated quickly enough.

15 Marker band 51 is attached to the fiber shaft at a distance of 0.215 inches from the spherical tip to create a radiopaque marker to line the fiber up with the catheter tip under fluoroscopy. This enables positioning of the fiber tip in relation to the catheter tip. Preferably the marker bands are non-metallic, to avoid concentrating energy from the fiber. Potential materials for the marker are tantalum and barium sulfate filled polymer.

20 Referring to Figure 7, handle 20 includes a hand-engageable portion 60 and a catheter deflecting knob 62. Catheter 64 is connected at the front of hand-engageable portion 60, and fiber driver 66 is connected at the rear. Outer sheath 36 is connected to fiber driver 66 so that when cable 34 is retracted within sheath 36, fiber driver 66 and fiber 14 secured therein are advanced within handle 20. Fiber 14 is secured to driver 66 by a  
25 Toughy Borst fiber lock 70a. Hemostasis valve assembly 70 is used to deliver liquid inside of catheter 64 and seals against fiber 14. At the distal end of catheter 64 is a so-called "floppy section" 72 that is bent by operating deflecting knob 62.

Referring to Figure 8, deflecting knob 62 is shown separated from hand engageable component 60. Four knob positions A, B, C, and D are shown in Figure 8. These  
30 correspond to the positions shown in Figures 8 A-8D. Sensor 63, in hand engageable portion 60, senses the position of knob 62 and thus the position of floppy section 72 and transmits it through appropriate wires to computer 26. In Figure 8A, the floppy section 72 is straight in position A. In Figure 8B, the floppy section 72 is shown bent to an angle of

30 degrees in position B. In Figure 8C, the floppy section 72 is shown bent to an angle of 60 degrees in position C. In Figure 8 D, the floppy section 72 is shown bent to an angle of 90 degrees in position D.

Referring to Figure 9, catheter 64 is shown within left ventricle 80 of the patient's heart 18, with the floppy section 72 shown in four different positions such that it engages different locations of the heart wall. In the 0 degree position, the laser catheter contacts the apex. In the 30 degrees position, the laser catheter contacts the lateral wall. In the 60 degrees position, the catheter engages the high lateral wall. In the 90 degrees position, the catheter engages the base.

Referring to Figure 10, catheter 64 has radiopaque markers 82, 84 (e.g., of gold or other suitable material) at the two ends of the floppy section 72. Catheter 64 also carries a balloon 86 at the distal end. In Figures 11 and 12, balloon 86 is shown inflated. In Figure 13, floppy section 72 is shown bent 90 degrees. In this position, markers 82, 84 are in a different orientation than shown in Figures 10 and 11, and this would be seen on a fluoroscope in use.

Referring to Figure 14, an alternative catheter end 88 is shown. It includes a magnetic position sensor 97 within the catheter wall 95. Fiber 14 has a magnetic portion 99 at a known distance from the end of the fiber. Sensor 97 for example is a Hall effect sensor that can determine the relative position a magnetic portion 99 with respect to sensor 97.

Referring to Figures 15 and 16, the cross-section of catheter 64 is shown. Catheter 64 has a large lumen 100 through which fiber 14 passes. This lumen is off center from the center 102 of the catheter 64. The catheter 64 also has three smaller lumens 104, used for a bending wire for floppy section 72, electrical wires for sensors, and/or drug delivery. In manufacture, catheter 64 is extruded with lumens 100 and 104. The lumens can be provided to the final desired size in the extruded material (Figure 16), or can be provided by extruding holes at a larger size, and then inserting tubes having the desired inner diameters into the extruded holes (Figure 15). The central lumen is 4.5 French, and the deflectable floppy tip is 6 cm long. Alternatively the lumen can be a different size, e.g., 3-French. The entire catheter shaft is 110 centimeters long. A control wire extends in one of lumens 104 through the catheter shaft to the tip of the floppy section 72. The distal end of the floppy section 72 is anchored to the catheter tip, and the proximal end is attached to

the control wire connected to knob 62. The control handle knob 62 is retracted to place a bend in the floppy tip.

Referring to Figures 17-19, there are shown three alternative forms for the end of catheter 64. In Figure 17, catheter end 106 has a plurality of slit bumps 108. In Figure 18, catheter end 109 has a smaller number of larger, generally rectangular portions 110. In Figure 19, catheter end 112 has curved protrusions 114 of an intermediate size.

In use, the medical professional, generally a cardiologist, places the end of catheter 64 into the appropriate location of the heart, generally the left ventricle, via the percutaneous technique. The six-French catheter size provides for multiple access points: femoral artery, radial artery, and corotoid artery. Access at a point other than the femoral artery enables the patient to be ambulatory in shorter period of time. First the guidewire is directed through the vasculature to the heart. The catheter 64 is then placed over the guidewire, which passes through large lumen 100, and directed over the guidewire to the heart. The distal end of catheter 64 passes through the aortic valve 79 into the left ventricle 80 (Figure 9). The location of the delivery system and distal tip is visualized with a fluoroscope, using markers 82, 84. When the catheter is in the proper location, the guidewire is removed, and fiber 14 is fed through lumen 100.

The end of the fiber 14 is then calibrated relative to the end of catheter 64 for different angles of bend. The catheter is first placed with the floppy tip positioned straight (knob position A) within the left ventricle 80. The front edge of the radiopaque marker 51 on the fiber is lined up with the back edge of the catheter tip marker 84, and the fiber 14 is clamped at 66 at this position, and the position of stepper motor 32 at this time is recorded; the positions of stepper motor for knob positions B, C, and D (with marker 51 aligned with marker 84) can then be estimated. Thereafter, as the catheter tip is deflected, the fiber position is automatically adjusted by a feedback system from the catheter control knob sensor 63, to computer 26, to the fiber advance drive motor module 12. Alternatively, the fiber tip can be automatically positioned in relation to the catheter tip by utilizing the Hall effect sensor 97 for the Figure 14 embodiment. When the magnetic portion lines up with the sensor, computer 26 records the position of stepper motor 32. Sensing the fiber tip location and adjusting it automatically ensures consistent channel depth at varying tip deflection.

Balloon 86 is then inflated to increase surface area of the catheter tip to reduce pressure applied by it at the heart wall and to prevent the tip of the catheter from piercing

the heart wall. The tip of the catheter is positioned against the endocardial wall in the region to be treated, with the tabs or protrusions 108, 110, or 114 (Figures 17-19) providing a nonslip engagement with the wall. Saline solution is periodically injected via a syringe into the hemostasis valve side arm 71 and flushed through the catheter 64. The saline solution travels between the fiber outer diameter and inner lumen 100 of catheter 64 to the distal tip of the catheter 64. The flushing prevents blood clots, and debris from entering and sticking to the catheter tip and the fiber.

When the tip is in proper position at a location for creating a channel in the heart tissue, the fiber tip is automatically advanced until it is 1 mm past the catheter tip. The operator then steps on a foot pad switch (not shown) to cause automatic laser firing with fiber advancement and retraction, at the next appropriate time in the heart beat cycle, as is set forth in the timing diagram of Figure 20. The laser pulse delivery sequence is initiated when the laser is armed (by providing an initial power level to laser 42) and the footswitch (not shown) is depressed. When these conditions are met, the first occurrence of the R-wave peak will initiate laser energy delivery and fiber advancement. The timing sequence is as follows: Each peak of the R-Wave generates an ECG SYNC, which the computer/software uses to initiate the laser pulse timing when the laser 42 is armed, the footswitch is depressed and all system safety functions are normal. Normally the fiber tip is protected inside the catheter. When the laser is armed, the tip is advanced to protrude 1 millimeter beyond the catheter tip to initialize the fiber tip 50 at the treatment position. At the next occurrence of the ECG SYNC (at the peak of the R-Wave) following laser arming and footswitch depression, the shutter in module 15 is opened, and the laser energy pulse is initiated. The fiber advancement is delayed a period of time (e.g., 50 ms) from the start of the laser pulse to allow preheating of the fiber tip (dwell), and is then advanced for 4 millimeters in a selected time (e.g., 260 ms or less); other times can be used. The end of the laser pulse disarms the laser, closes the shutter, and initiates fiber retraction. Fiber retraction time (e.g., 40 ms) is chosen to ensure that all channel formation activities are finished before the onset of the ECG T-Wave. Alternatively, the laser firing could start after the T wave and end before the following T wave.

The laser system forms a channel, e.g., channel 116 shown in Figure 9, from the endocardial surface into the myocardium. The distance of advancement of the fiber establishes the depth of the channel, and the fiber tip size at enlarged portion 50 establishes the diameter of the channel. Firing the system transmits energy from the

energy source 12, through the delivery system of fiber 40, optics 15, and fiber 14. A portion of the energy delivered to the fiber tip is absorbed by glass coating 53, where it is converted to heat. At the initiation of laser firing, coating 53 heats up and causes denaturing and carbonization of the heart tissue that it contacts. The radiation, at 808 nm,  
5 is not generally absorbed by heart tissue in its normal state. After heating the tissue, however, the tissue is converted to an absorbing state, and thereafter absorbs the laser energy that is transmitted through the tip of the fiber while the fiber is advanced, creating channel 116, approximately 5 mm deep, by the process of ablation.

The use of a larger tip diameter of enlarged portion 50 permits one to form a  
10 channel with a desired diameter that is larger than the base diameter of the fiber 14. This improves fiber flexibility, which provides improved navigation and resiliency in the human vasculature. During advancement of the fiber into the channel being created, vaporized tissue and blood created by the ablation can escape through a "vent" created by the reduction in diameter from the tip to the fiber shaft. The vapor passes into the left  
15 ventricle with PMR and into the atmosphere with TMR. The vent also prevents excess acoustical damage that can be caused by a straight fiber. Excess acoustical damage contributes to tissue tearing and cellular damage, which may adversely affect the intended function of the PMR/TMR channel.

Upon retraction of the fiber from the channel 116 (Figure 9), drugs are optionally  
20 injected into the channel from the catheter tip to the site. Upon completion of channel formation, the balloon 86 is deflated, and the catheter returns to a 6-French profile.

After a channel has been created at one location, the delivery system is moved to the next location and the channel formation process is repeated until all the required channels are formed.

25 A hydrogel coating on the outer diameter of the catheter shaft provides ease of insertion of the device through the vasculature and improves maneuverability in the ventricle. During the procedure, lumen 100 is periodically flushed with sterile saline solution (0.90% sodium chloride in water) via valve sidearm 71. The flushing prevents blood clots from forming between the outer diameter of the fiber assembly and the inner  
30 lumen of the catheter, and the aqueous environment activates the hydrogel lubricant coated on the outer diameter of fiber 14, such that the coefficient of friction of the two surfaces is reduced by approximately a factor of 5-10 times. The lubricant promotes movement

through lumen 100 in the feeding, bending (as floppy section 72 is bent), initialization of tip end, advancement and retraction phases of its movement.

System 10 minimizes any electrical stimulation to the heart by synchronizing laser firing to occur at a safe period in the heart beat cycle. The laser energy delivery is  
5 synchronized to begin after the peak of the patient ECG R-Wave and end before the occurrence of the T-Wave. Thus the laser energy is delivered for channel creation during the time in which the patient's heart is inactive and minimizes any electrical stimulation. Synchronizing the ablation to start with the R wave and end before the T-wave eliminates positive ventricular contractions (PVC's). Alternatively, the firing could be synchronized  
10 to the R wave (or other appropriate event) and begun after the T wave and ended before the next T wave.

Synchronizing the timing of fiber advance and laser power eliminates tissue tearing, and minimizes thermal, acoustical, and collateral damage in general. The system creates reproducible channels with minimal tissue damage. The use of a diode laser  
15 permits the system to be packaged in a compact housing on either side of an operating table from the cardiologist. The control panel allows the operator to set the depth to 1 mm or 5 mm, and the controller automatically sets the energy level, distance of travel, and time to achieve the set depth.

Drug delivery is achieved through the central catheter lumen or through a separate  
20 lumen in the catheter shaft.

Referring to Figure 21, directional coupler 150 and detector 152 can be used to verify that enlarged portion 50 has not broken from fiber 14. The light from laser source 12 passes through fiber 14, and the change in refractive index at coating 53 causes some of the light to be reflected back towards the source. This light that is reflected is diverted at  
25 directional coupler 150 and detected at detector 152. If there is a change in reflected light, this is taken as an indication that the enlarged portion 50 has broken off from fiber 14.

Referring to Figure 22, TMR handle 200 is similar to PMR handle 20, and can be used with the subsystems shown in Figures 2-8 and 10-20 in a procedure in which the channels are created from the outside of the heart, as described for the particular  
30 embodiment of U.S. Patent No. 5,125,926, which is hereby incorporated by reference. Thus the discussion of the structure and operation of system 10 with PMR handle 20 also applies to TMR handle 200, with the exception of the differences described here. The primary difference between TMR handle 200 and PMR handle 20 is that handle 200 has a



6-9 inch long, 10 French outer guide member 202 instead of 100 cm, 6-French catheter 64. Outer guide member 202 has a 3-4 cm long floppy section 204, that is controlled by deflecting knob 206 and can be deflected from 0 degree to 120 degrees. Flat end surface 208 is located at the end of outer guide member 202 and carries slip resistant surface  
5 features such as a pattern of protrusions (Figure 23.) Also, laser 42 is a 50-watt laser.

In use, outer guide member 202 and optic fiber 14 inside are directed to the back side of the heart employing a minimally invasive thorascopic procedure involving a small port incision through the chest or a thoractomy or a sternotomy. Flat end surface 208 can be remove or reduced in size when used thorascopically. Access to the heart can be via a  
10 thoracotomy when TMR is performed alone and via a sternotomy when TMR is performed in combination with coronary artery bypass grafting (CABG). The pericardium is excised in the traditional way prior to positioning the end 208 of outer guide member 202. Alternatively, the channel can be formed through the pericardium, with the opening to the channel at the epicardial surface being sealed by a laser burst as the fiber tip is removed  
15 from the channel.

The end 208 of outer guide member 202 is positioned against the wall in the region to be treated, with the cardiologist bending floppy section 204 as needed using deflecting knob 206. The system is armed and fired to form a channel from the epicardial surface through the myocardium to the interior of the left ventricle. The tip of fiber 14 is  
20 automatically advanced an appropriate distance (e.g., 10-15 mm) into the channel as it is created, and the fiber is automatically retracted after channel formation. The distance varies depending on the heart wall thickness; the distance is set to be longer than the thickness of the wall to guarantee that the channel passes all of the way through into the left ventricle. Drugs can be delivered through a lumen. The channel entrance site can be  
25 sealed via cauterization by an energy burst as the end of fiber 14 is removed from the mouth of the channel or via a sealant applied through a shaft lumen. The small profile enables delivery of energy with minimal manipulation. Channel formation can be confirmed using an imaging technology such as echocardiography. The control panel allows the operator to change the energy level in 1-Joule increments or a power level in 1-  
30 watt increments for TMR procedures; in particular, the user sets the desired channel depth, which varies depending on heart wall thickness, and the controller sets the proper energy level, distance of travel, and time. E.g., for a 10 mm thick wall, the distance of travel would be set at greater than 10 mm, e.g., 12 mm.

Laser diode 42 is a compact, inexpensive, high-power, controllable source of laser energy. While its 808 nanometer wavelength generally cannot be used to ablate heart tissue, by providing a partially absorbing tip coating, the tip is heated, to initially heat adjacent heart tissue to a state in which the tissue does absorb 808 nanometer energy.

5           The incorporation of an optical glass filter coated on a spherical silica fiber tip produces repetitive ablation (consistent channel formation) and minimal tissue damage (thermal and mechanical) in the blood tissue environment present in PMR or TMR. An advantage of the use of a transparent tip (as opposed, e.g., to a metal tip) is that the shaft of the fiber is not heated to a significant extent. This is due to lower thermal conductivity of  
10 the transparent material. Also, having the heat directed out the end of the fiber and not to the sides of the fiber, limits thermal damage to the tissue along the edge of the channels to a small depth (unlike some other procedures). This minimizes the thermal damage and amount of scar tissue formed in the channel. The minimal tissue damage promotes collateral vessel formation adjacent to the channel. This facilitates increased perfusion of  
15 blood in the myocardium.

Other embodiments of the invention are within the scope of the appended claims.

In addition to diode lasers, other semiconductor lasers can be used. Also, a 6-French catheter can be used within a guide catheter.

20   **WHAT IS CLAIMED IS:**

1. A myocardial revascularization system comprising  
a laser energy source,  
an outer guide member providing access to a patient's heart, said guide member  
having a distal end,  
5 an optical fiber that is coupled to receive laser energy pulses from said source and  
is slidably located within said guide member, said fiber having a distal end that is  
extendible from said distal end of said guide member,  
a drive motor connected to said fiber to automatically move said distal end of said  
optical fiber with respect to said distal end of said guide member, and  
10 a controller that controls said drive motor to automatically move said fiber in  
synchronism with firing of said laser energy.
2. The system of claim 1 wherein said outer guide member comprises a catheter for  
percutaneous access to a patient's heart, said catheter having a distal end adapted to be  
directed via said patient's vasculature into said patient's heart and a proximal end external  
15 of said patient's body.
3. The system of claim 1 wherein said fiber is automatically advanced by said drive  
motor.
4. The system of claim 1 wherein said controller receives signals indicating the  
patient's heart beat cycle and synchronizes laser firing and fiber movement to the patient's  
20 heart beat cycle.
5. The system of claim 1 wherein said fiber is advanced after a short delay  
after initiation of firing of said laser.
6. The system of claim 5 wherein said signals indicating the patient's heart beat  
cycle are ECG signals.
- 25 7. The system of claim 6 wherein said laser firing is synchronized to occur after  
the R wave of said ECG signal and to end at a time before the T wave.

8. The system of claim 6 wherein said laser firing is synchronized to occur after the T wave of said ECG signal and to end at a time before the next T wave.

9. A myocardial revascularization system comprising
- 5 a laser energy source,
- an outer guide member providing access to a patient's heart, said guide member having a distal end,
- an optical fiber that is coupled to receive laser energy pulses from said source and is slidably located within said guide member, said fiber having a distal end that is extendible from said distal end of said guide member,
- 10 a drive motor connected to said fiber to automatically move said distal end of said optical fiber with respect to said distal end of said guide member, and
- a controller that controls said drive motor and automatically calibrates the position of said distal end of said fiber with respect to said distal end of said catheter.

10. The system of claim 9 wherein said distal end of said outer guide member is
- 15 deflectable to varying amounts of tip deflection, and further comprising a sensor for sensing the extent of deflection and generating a signal indicating the extent of deflection, and wherein said controller receives a signal indicating the extent of deflection from said sensor, and determines a calibration adjustment for the position of said distal end of said fiber with respect to said distal end of said guide member as a function of said guide
- 20 member deflection.

11. The system of claim 10 wherein said distal end of said catheter is deflectable up to 90 degrees.

12. The system of claim 10 wherein said distal end of said catheter is deflectable up to 120 degrees.

- 25 13. The system of claim 2 wherein said catheter is 7 French or smaller.

14. The system of claim 2 wherein said catheter is 6 French or smaller.

15. The system of claim 9 wherein said controller uses said calibration adjustment to cause said fiber tip to be moved to an initialized position prior to firing said laser.

16. The system of claim 15 wherein said distal end of said fiber is about 1 mm beyond the distal end of said catheter in said initialized position.

5        17. The system of claim 16 wherein said controller fires said laser after moving said fiber to said initialized position, and after receiving a fire signal from the operator.

18. The system of claim 17, wherein said controller fires said laser after receiving an ECG signal after receiving said fire signal.

10        19. The system of claim 17 wherein said controller advances said fiber after a delay of about 50 ms after initiating firing of said laser.

20. The system of claim 19 wherein said controller advances said fiber a predetermined distance in a predetermined period while continuing to fire said laser.

21. The system of claim 20 wherein said predetermined distance is at least 4 mm.

22. The system of claim 20 wherein said predetermined distance is at least 10 mm.

15        23. The system of claim 20 wherein said predetermined period is less than 300 ms.

24. The system of claim 20 wherein said predetermined period is greater than 300 ms.

25. The system of claim 20 wherein said predetermined period starts after the R wave and ends before the T wave.

20        26. The system of claim 20 wherein said predetermined period starts after the T wave and ends before the next T wave.

27. The system of claim 20 wherein said predetermined period is contained within one heart beat cycle.

28. The system of claim 20 wherein said predetermined period is contained within more than one heart beat cycle.

5        29. The system of claim 20 wherein said predetermined period includes a period before the T wave and a period after the T wave wherein said laser is not fired and said fiber is not advanced during the T wave.

30. The system of claim 20 wherein said controller retracts said fiber after discontinuing firing of said laser.

10       31. The system of claim 30 wherein said controller causes said laser to fire a burst of energy as said fiber is being removed from said channel to coagulate the entrance to the channel.

32. The system of claim 1 wherein said drive motor is a stepper motor.

15       33. The system of claim 32 wherein said stepper motor causes a fiber engagement member that engages a portion of said fiber external of said patient to be moved with respect to said outer guide member.

34. The system of claim 33 wherein said fiber engagement member is moved by said stepper motor with respect to a handle to which said outer guide member is attached.

20       35. The system of claim 34 further comprising a sheathed cable that is connected between said stepper motor and said handle.

36. The system of claim 35 wherein said sheathed cable includes an outer sheath that is secured to said fiber engagement member and an internal cable that is connected to said handle, such that, retraction of said internal cable within said outer sheath by said

stepper motor causes said fiber engagement member to be moved toward said handle and said fiber to advance within said outer guide member.

37. The system of claim 1 further comprising a drug delivery system that delivers drugs from said distal end of said catheter in response to a drug delivery signal, and  
5 a controller that controls said drive mechanism to move said fiber in synchronism with delivery of said drugs from said distal end of said catheter.

38. The system of claim 37 wherein said controller causes said drive motor to advance said distal end of said fiber toward the patient's heart tissue and to retract said distal end of said fiber away from the patient's heart tissue, and wherein drug delivery is  
10 synchronized to occur during movement of said distal end of said fiber away from the patient's heart tissue.

39. The system of claim 38 wherein said drug delivery is synchronized to occur as said distal end of said fiber is removed from a channel formed in said heart tissue.

40. A myocardial revascularization system comprising  
15 a semiconductor laser energy source that outputs laser pulsed having a wavelength between 600nm and 1000nm and  
an optical fiber that is coupled to receive laser energy pulses from said source and to deliver them to a patient's heart tissue to be revascularized, and  
an outer guide member that engages said fiber and permits an operator to direct  
20 said tip to contact said patient's heart tissue to be revascularized.

41. A myocardial revascularization system comprising  
a laser energy source, and  
an optical fiber that is coupled to receive laser energy pulses from said source and to deliver them to a patient's heart tissue to be revascularized, said fiber having a heat  
25 absorbing material at the tip thereof, said material being nonmetallic and being partially transparent to said pulses, and  
an outer guide member that engages said fiber and permits an operator to direct said tip to contact said patient's heart tissue to be revascularized.

42. The system of claim 40 or 41 wherein said outer guide member comprises a catheter for percutaneous access to said patient's heart, said catheter having a distal end adapted to be directed via said patient's vasculature into said patient's heart and a proximal end partially external of said patient's body, and  
5 wherein said fiber is slidably located within said catheter, said fiber having a distal end that is extendible from said distal end of said catheter.

43. The system of claim 41 wherein said tip of said fiber has an end surface portion facing in the direction of the longitudinal axis and a lateral surface portion facing generally perpendicular to said axis, and wherein said energy absorbing material is located  
10 at said end surface portion and not at said lateral surface portion.

44. The system of claim 41 wherein said laser is a semiconductor laser that outputs laser pulses having a wavelength between 600nm and 1000nm.

45. The system of claim 40 or 44 wherein said laser is a diode laser.

46. The system of claim 41 wherein said energy absorbing material includes  
15 carbon.

47. The system of claim 41 wherein said energy absorbing material includes metallic particles in said nonmetallic material.

48. The system of claim 47 wherein said nonmetallic material is glass.

49. The system of claim 41 wherein said energy absorbing material includes a  
20 coating on said fiber tip of energy absorbing glass.

50. The system of claim 49 wherein said coating includes a coating of optical glass filter that absorbs radiation at the wavelength of said laser energy source.

51. The system of claim 50 wherein said coating is about 20-30 um thick.



52. The system of claim 50 wherein said fiber is made of silica glass material.

53. The system of claim 50 wherein said coating comprises ionically colored glass.

5 54. The system of claim 50 wherein said coating absorbs between 5 and 40% of the radiation directed to it.

55. The system of claim 50 wherein said coating absorbs between 15 and 25% of the radiation directed to it.

10 56. The system of claim 49 wherein said laser energy source is a diode laser operating at a wavelength between 600 nm and 1000nm, and said coating includes a coating of glass that absorbs radiation at the wavelength of said laser energy source.

57. A myocardial revascularization system comprising  
a laser energy source, and  
an optical fiber that is coupled to receive laser energy pulses from said source and  
15 to deliver them to a patient's heart tissue to be revascularized, said fiber having a fiber diameter, said fiber having an enlarged portion at the tip thereof, said enlarged having a transverse dimension that is larger than said fiber diameter, and  
an outer guide member that engages said fiber and permits an operator to direct said tip to contact said patient's heart tissue to be revascularized.

20 58. The system of claim 57 wherein said outer guide member comprises a catheter for percutaneous access to said patient's heart, said catheter having a distal end adapted to be directed via said patient's vasculature into said patient's heart and a proximal end external of said patient's body,  
wherein said fiber is slidably located within said catheter, said fiber having a distal  
25 end that is extensible from said distal end of said catheter, said enlarged portion being on said distal end.

59. The system of claim 58 wherein said enlarged portion has a transverse dimension of greater than about 600um, and said fiber diameter is less than about 500um.

60. The system of claim 58 wherein said enlarged portion has a transverse dimension of about 700um, and said fiber diameter is about 400um.

5 61. The system of claim 58 wherein said enlarged portion is generally spherical.

62. The system of claim 61 wherein said enlarged portion is made by heating said fiber, said fiber being made of material selected to have a surface tension so as to provide a 700um tip dimension in a transverse direction.

63. The system of claim 58 further comprising a life-line attached to said  
10 enlarged portion to retain said enlarged portion in the event that it becomes separated from said fiber.

64. The system of claim 58 wherein said tip includes a material having a different refractive index than the material of said fiber, and further comprising a sensor to monitor reflection returned from the interface of the material of the fiber and the material having a  
15 different refractive index to thereby confirm that said enlarged portion remains connected to said fiber.

65. A percutaneous myocardial revascularization system comprising  
a laser energy source,  
a catheter for percutaneous access to a patient's heart, said catheter having a distal  
20 end adapted to be directed via said patient's vasculature into said patient's heart and a proximal end external of said patient's body, said catheter being 7 French or smaller, said catheter having a deflectable distal end,  
an operator-controlled mechanism for deflecting said end,  
an optical fiber that is coupled to receive laser energy pulses from said source and  
25 is slidably located within said catheter.

66. The system of claim 65 or in said catheter is 6 French or smaller.

67. The system of claim 65 wherein said catheter has a fluoropolymer heat shrink tube over the optical fiber.

68. The system of claim 65 wherein said optical fiber has a core diameter less than 800  $\mu\text{m}$ .

5        69. The system of claim 65 wherein said optical fiber has a core diameter less than 500  $\mu\text{m}$ .

70. The system of claim 45 wherein said optical fiber has a core diameter of about 400  $\mu\text{m}$ .

71. The system of claim 45 wherein said optical fiber core is made of silica glass.

10       72. The system of claim 45 wherein said fiber has an enlarged portion at its distal end that has a transverse dimension of about 700 $\mu\text{m}$ .

73. The system of claim 65 wherein said operator-controlled mechanism is located on a handle attached to said catheter.

15       74. A myocardial revascularization system comprising  
a laser energy source,  
and outer guide member providing access to a patient's heart, said guide member  
being 7 French or smaller, said guide member having a deflectable distal end,  
an operator-controlled mechanism for deflecting said end,  
an optical fiber that is coupled to receive laser energy pulses from said source and  
20 is slidably located within said guide member.

75. A percutaneous myocardial revascularization system comprising  
a laser energy source,  
an outer guide member providing access to a patient's heart, said guide member  
having a distal end,

an optical fiber that is coupled to receive laser energy pulses from said source and is slidably located within said guide member, and

a lubricant between said optical fiber and an inner lumen surface of said guide member.

- 5           76. The system of claim 75 wherein said outer guide member comprises a catheter for percutaneous access to a patient's heart, said catheter having a distal end adapted to be directed via said patient's vasculature into said patient's heart and a proximal end external of said patient's body.

- 10           77. A percutaneous myocardial revascularization system comprising  
a laser energy source,  
a catheter for percutaneous access to a patient's heart, said catheter having a distal end adapted to be directed via said patient's vasculature into said patient's heart and a proximal end external of said patient's body,  
an optical fiber that is coupled to receive laser energy pulses from said source and  
15 is slidably located within said catheter, and  
a lubricant on the outer surface of said catheter.

78. The system of claim 75 or 77 wherein said lubricant is provided by a coating that creates a water film when exposed to an aqueous solution.

- 20           79. The system claim 75 or 77 wherein said lubricant is provided by a coating of a water or solvent based hydrogel.

80. A myocardial revascularization system comprising  
a laser energy source,  
an outer guide member providing access to a patient's heart, said guide member having a distal end,  
25 an optical fiber that is coupled to receive laser energy pulses from said source and is slidably located within said guide member, said optical fiber having a distal end that is extendible from said distal end of said guide member,

a first position sensing component carried by said optical fiber at or near said distal end of said fiber, and

a second position sensing component carried by said guide member at or near said distal end of said guide member.

5           81. The system of claim 80 wherein said guide member comprises a catheter for percutaneous access to a patient's heart, said catheter having a distal end adapted to be directed via said patient's vasculature into said patient's heart and a proximal end external of said patient's body.

10           82. The system of claim 80 wherein said first position sensing component is a magnetic material, and said second position sensing component is a magnetic position sensor.

83. The system of claim 82 wherein said second position sensor is a Hall effect sensor.

15           84. The system of claim 80 wherein said first and second position sensing components are radiopaque markers.

20           85. A percutaneous myocardial revascularization system comprising a laser energy source, a catheter for percutaneous access to a patient's heart, said catheter having a distal end adapted to be directed via said patient's vasculature into said patient's heart and a proximal end external of said patient's body,

an optical fiber that is coupled to receive laser energy pulses from said source and is slidably located within said catheter, said optical fiber having a distal end that is extendible from said distal end of said catheter, and

25           an inflation balloon carried on said catheter at said distal end so as to prevent piercing the heart tissue of said patient with said catheter.

86. A myocardial revascularization system comprising a laser energy source,

an outer guide member providing access to a patient's heart, said guide member having a distal end, said distal end having a non-slip structure for engaging a surface location of a patient's heart tissue, and

an optical fiber that is coupled to receive laser energy pulses from said source and  
5 is slidably located within said catheter, said optical fiber having a distal end that is extendible from said distal end of said catheter.

87. The system of claim 86 wherein said guide member comprises a catheter for percutaneous access to a patient's heart, said catheter having a distal end adapted to be directed via said patient's vasculature into said patient's heart and a proximal end external  
10 of said patient's body.

88. The system of claim 86 wherein said guide member is adapted to provide external access to a patient's heart.

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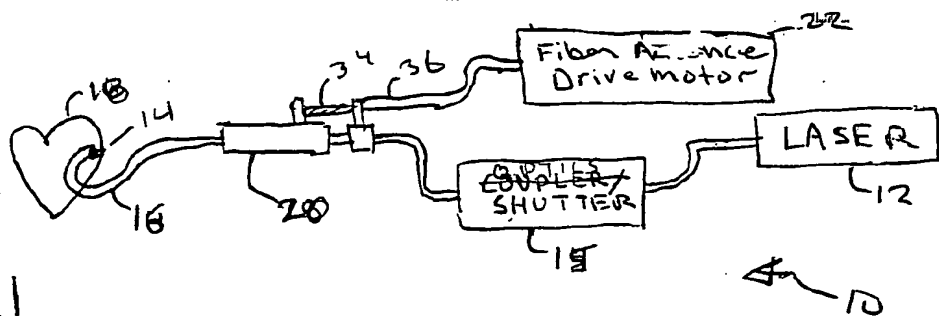


Fig. 1

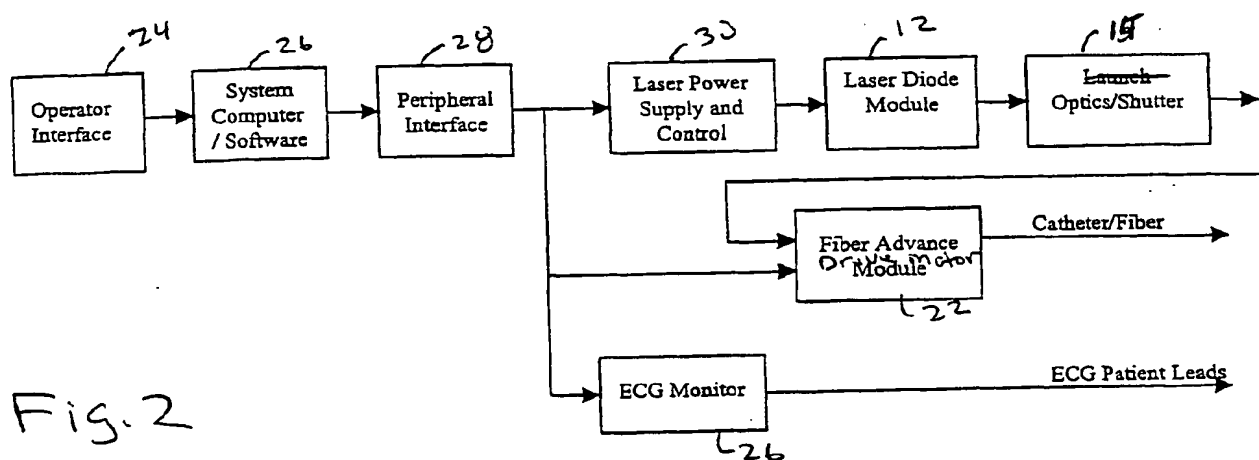


Fig. 2

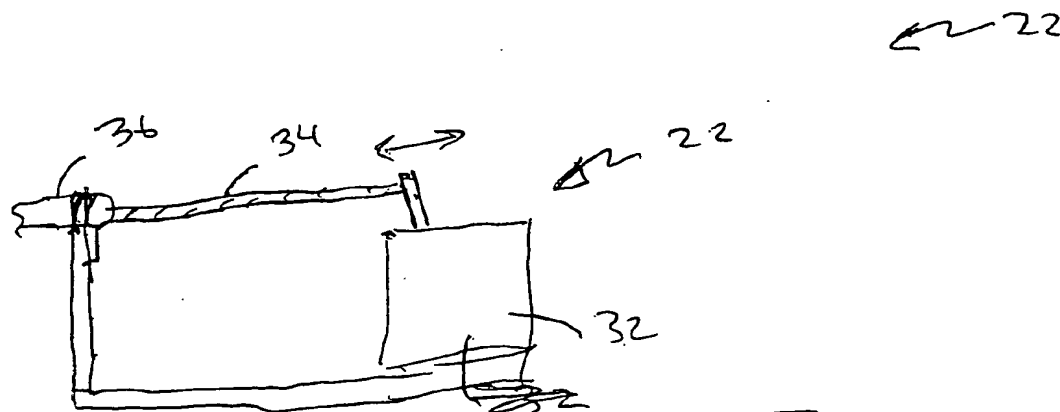
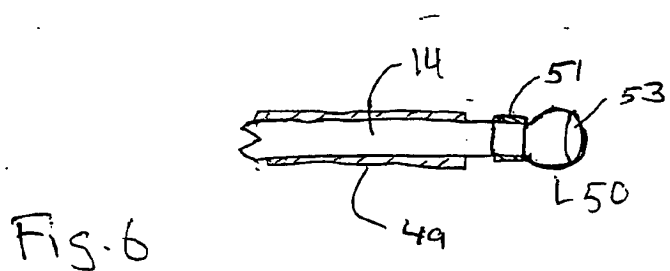
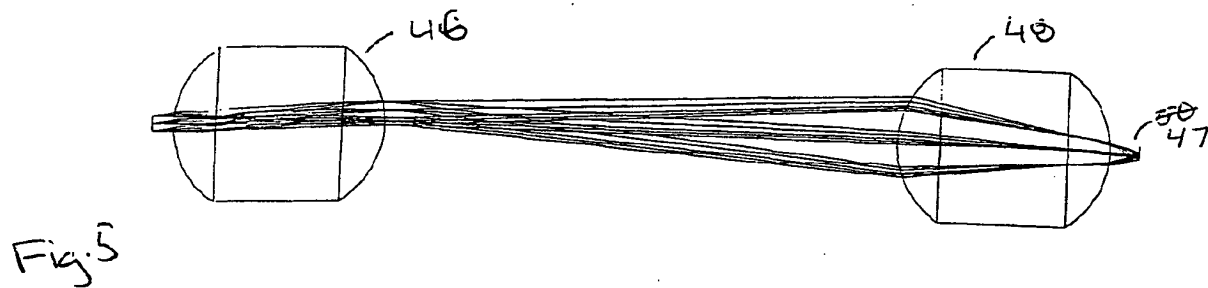
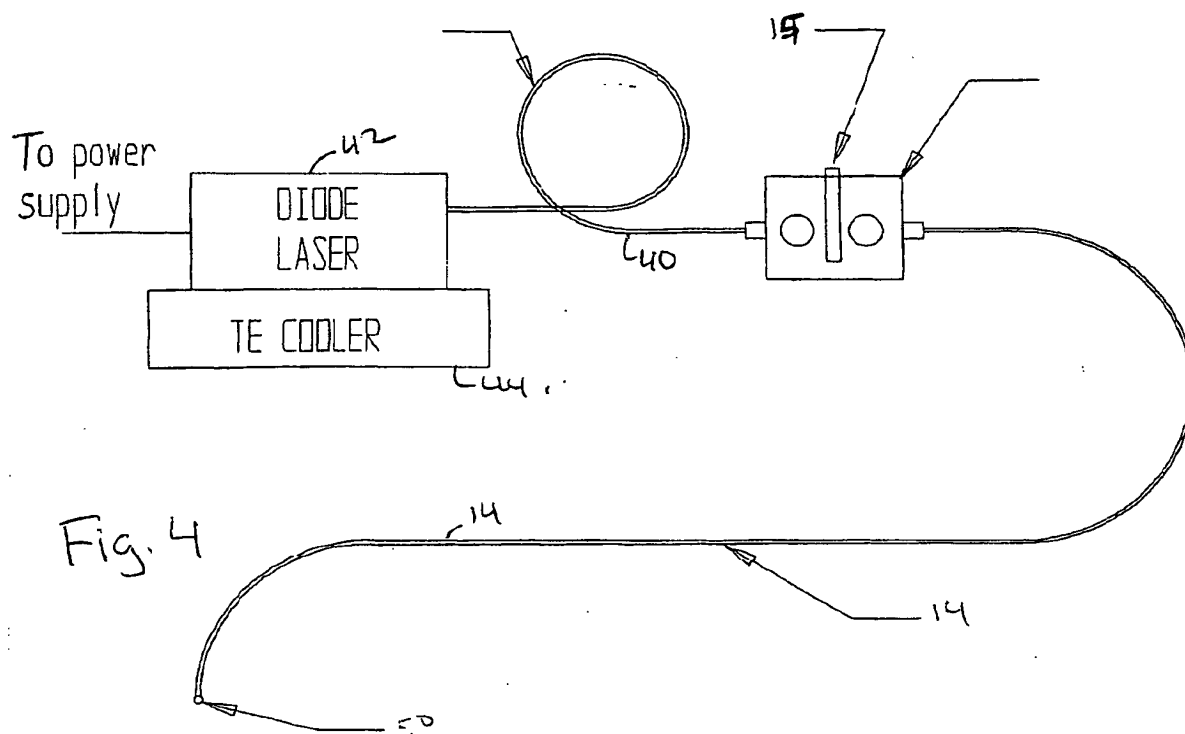


Fig. 3





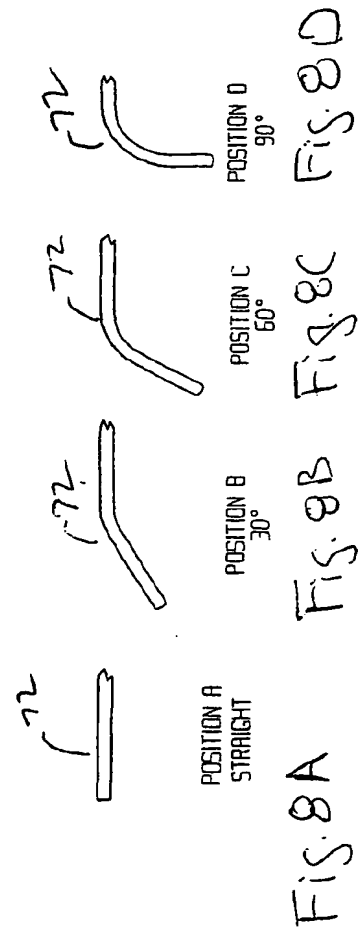
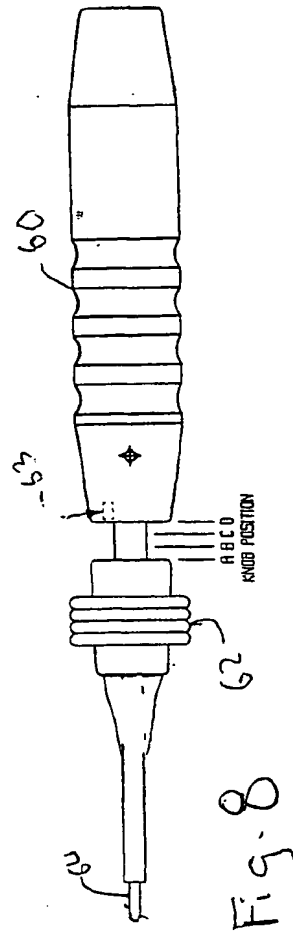
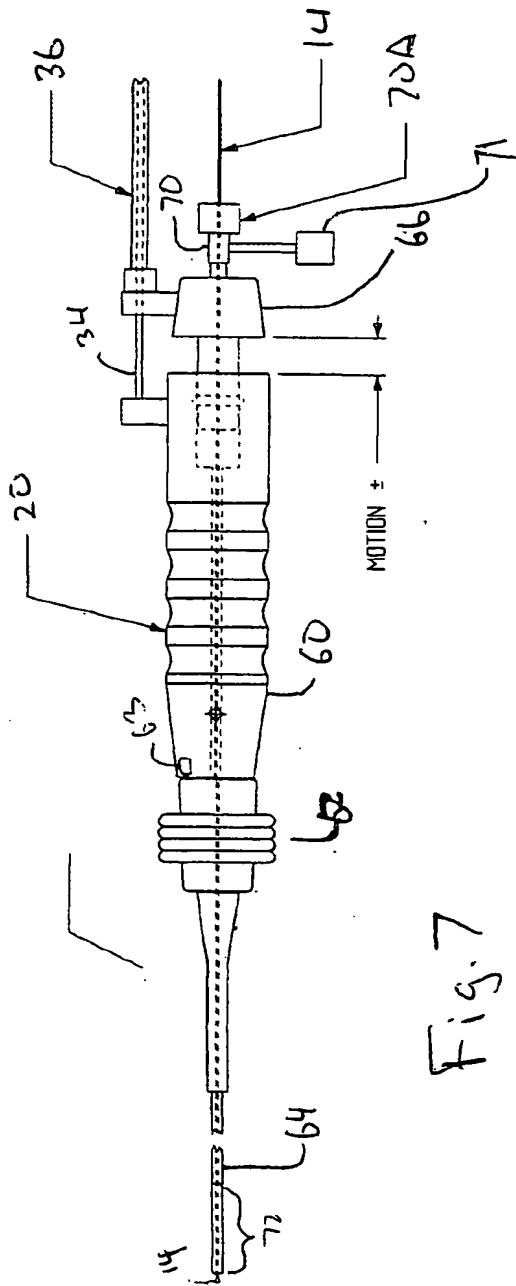
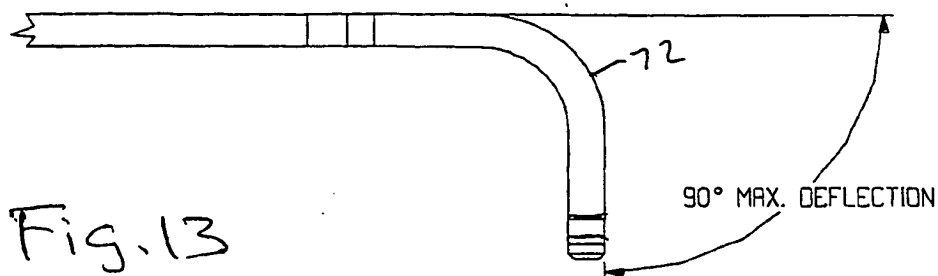
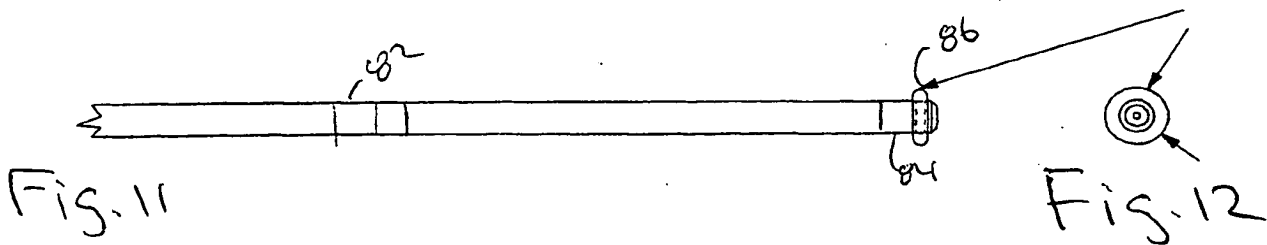
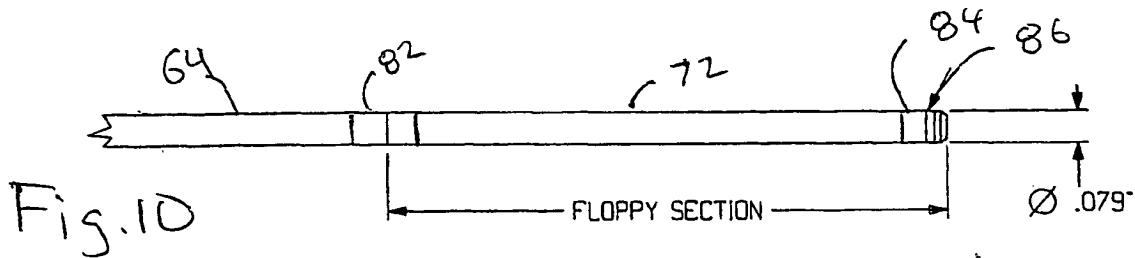
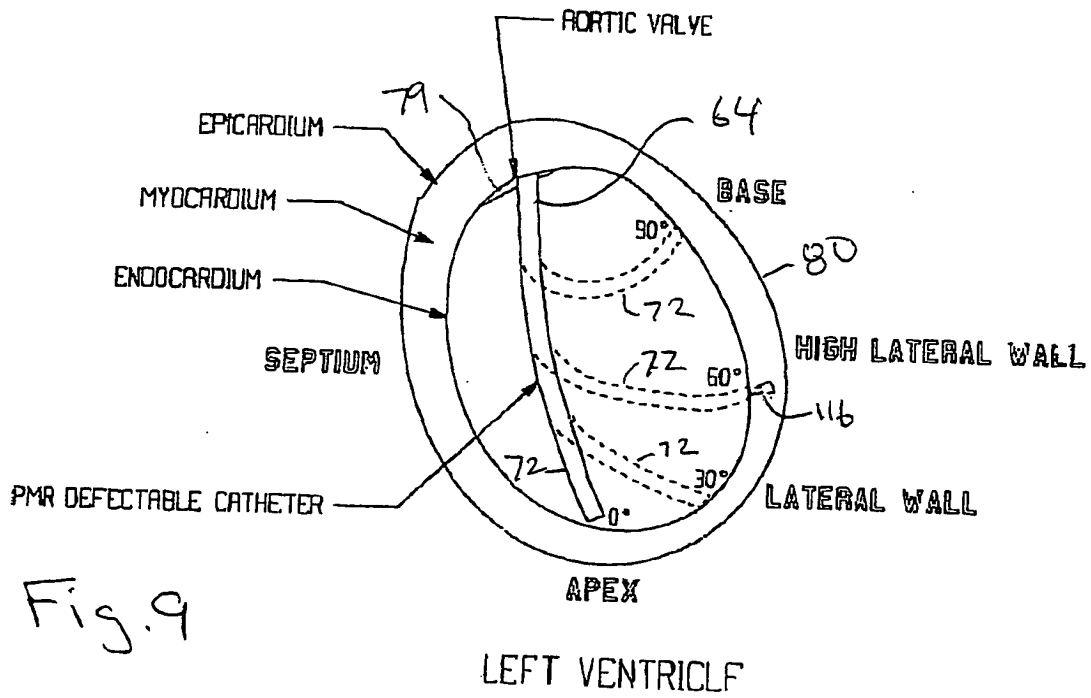
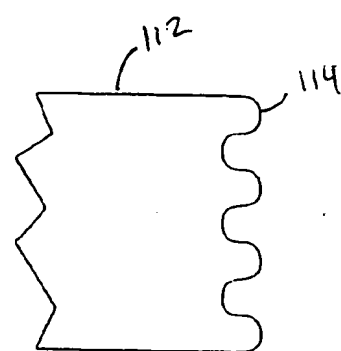
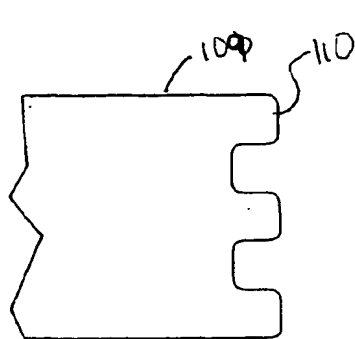
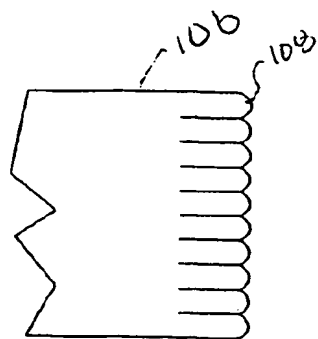
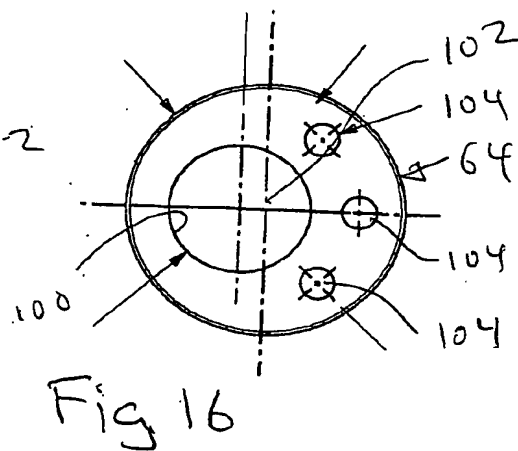
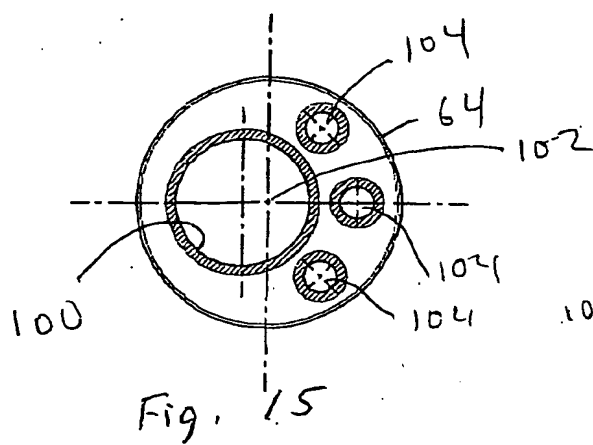
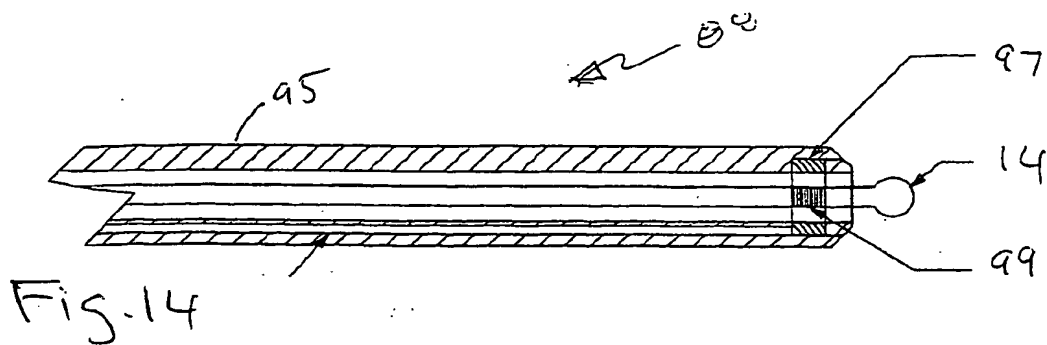
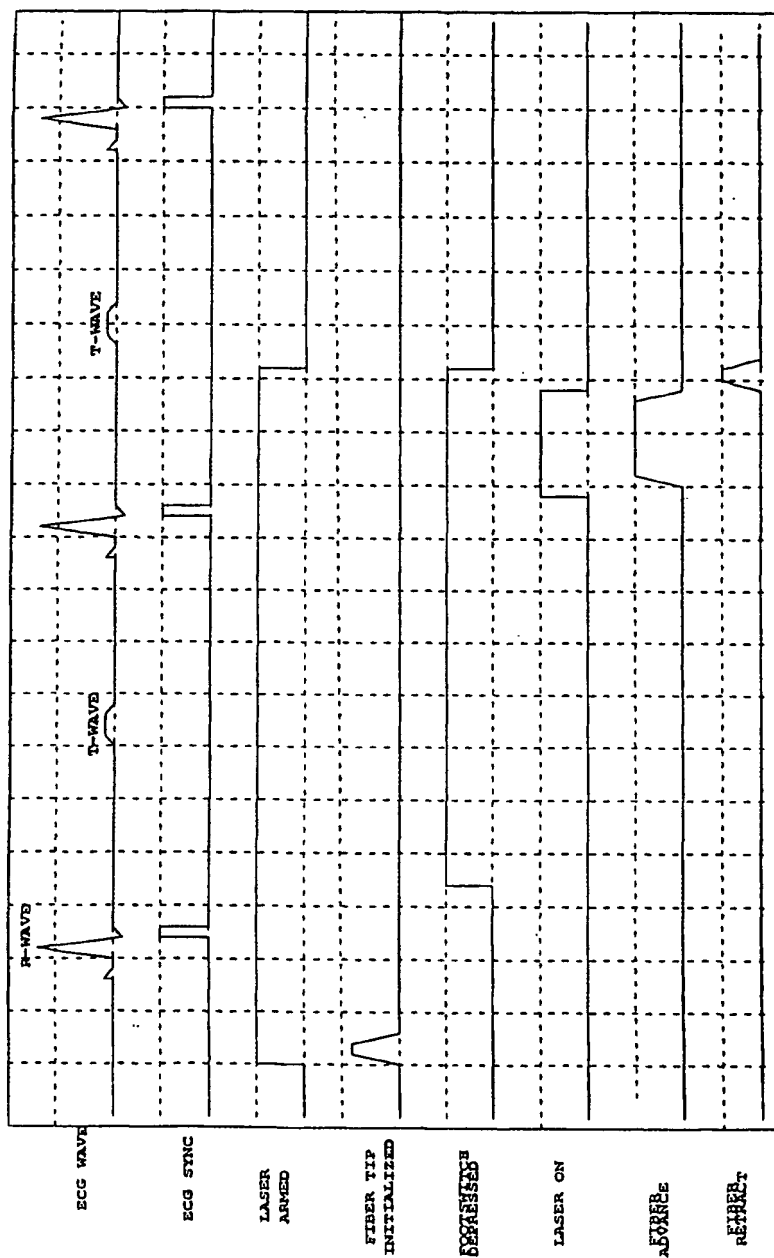


Fig. 8B Fig. 8C Fig. 8D







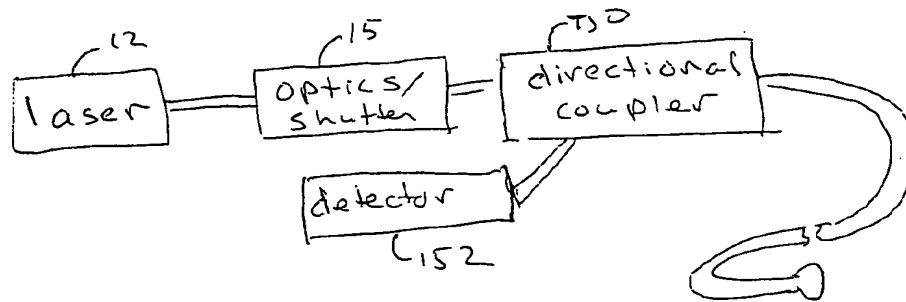


Fig. 21

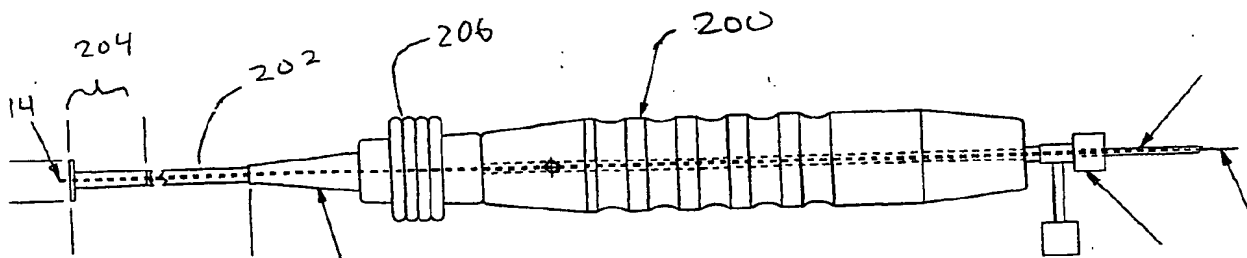


Fig. 22

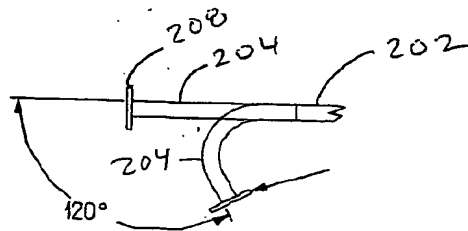


Fig. 23

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US01/07088

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A61B 18/18

US CL : 606/15

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 606/7, 10-16

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,222,953 A (Dowlātschahi) 29 June 1993 (29.06.1993), see whole document.	1,9
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Y		1-9,13-18
X	US 5,807,388 A (Jeevanandam et al.) 15 Sep. 1998 (15.09.1998), see whole document.	40,42,45
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Y		1-9,13-14,16-18,41-42,44-50,56-58,61,65-67,72-77,80-88
Y	US 5,832,929 A (Rudko et al.) 10 Nov. 1998 (10.11.1998), column 5 line 40, figure 8, column 4, line 22.	4,6-8,18
Y	US 5,897,529 A (Ponzi) 27 April 1999 (27.04.1999), column 4, line 42.	13-14, 65-67, 73-74
Y	US 5,129,897 A (Daikuzono) 14 July 1992 (14.06.1992), column 2, lines 41-45, column 5, lines 40-43.	41-42,44-50,56,72
Y	US 5,534,000 A (Bruce) 09 July 1996 (9.07.1996), figure 2.	57-58,61,72
Y	US 4,923,268 A (Xu) 08 May 1990 (05.05.1990), column 4, line 43.	67
Y	US 5,891,133 A (Murphy-Chutorian) 06 April 1999 (04.06.1999), column 12, line 57.	77

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

19 April 2001 (19.04.2001)

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Name and mailing address of the ISA/US

Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703)305-3230

Authorized officer

Linda C. Dvorak

Telephone No. 703 308 0858

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## C (Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,169,396 A (Dowlatsahi et al.) 08 Dec. 1992 (08.12.1992), column 2, line 14.	75-76
Y	US 5,769,843 A (Abela et al.) 23 June 1998 (23.06.1978), column 10, line 37.	80-84
Y	US 4,846,171 A (Kauphusman et al.) 11 July 1989 (11.07.1989), column 1, line 28.	84
Y	US 5,409,483 A (Campbell et al.) 25 April 1995 (04.25.1995), see abstract.	85
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